



Innovative R&D by NTT

# Continuous Variable Cryptography in the Noisy Storage Model

QCrypt, 1.10.2015

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# Preliminary & Motivation

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## Two Party Cryptographic Protocols



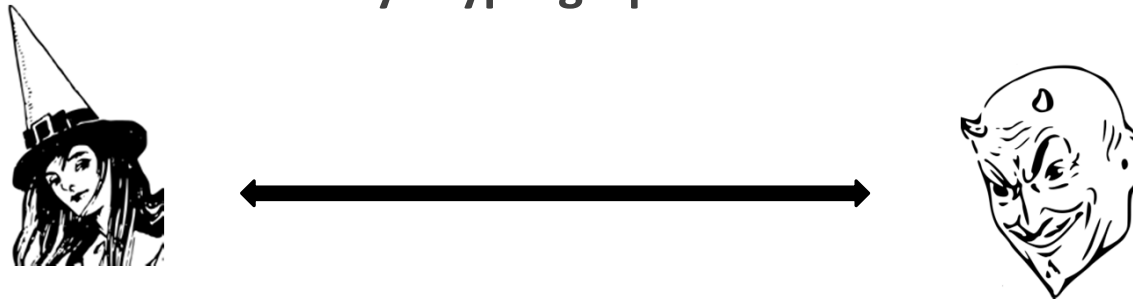
Examples:

- 1) **Oblivious Transfer:** Alice wants to send Bob exclusively one of two messages  $s_0, s_1$  (bits), while Bob can secretly choose which one he wants to learn.
- 2) **Bit Commitment**
- 3) **Secure Password Identification**

# Preliminary & Motivation

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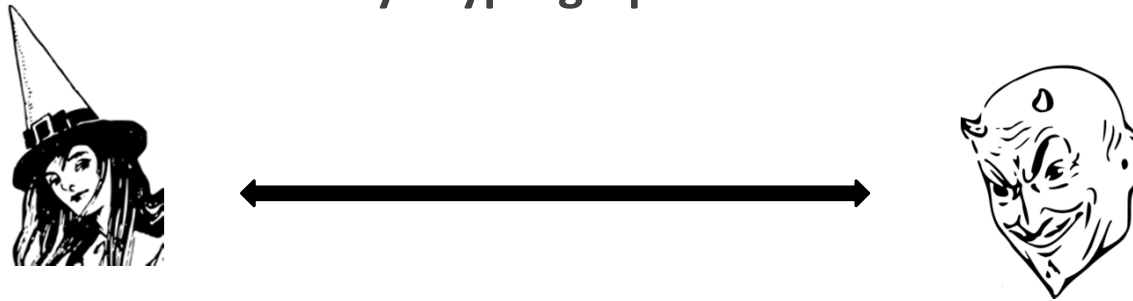


**Distrustful Model:** Alice does not trust Bob and vice versa

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Example:

**Oblivious Transfer:** Alice inputs wants to send Bob exclusively one of two messages  $s_0, s_1$  (bits), while Bob can secretly choose which one he wants to learn.

- Alice does not want Bob to learn both messages
- Bob does not want Alice to know which message he learns

# Preliminary & Motivation



- **NO GO THEOREM:** No information theoretical security, even with quantum communication [1]!
- **Computational Assumptions (classical crypto)**
  - Issues: no everlasting security (i.e., breaking it in the future retroactively)
- **Relativistic Constraints (time ordering + non-signaling)**
  - Issues: Can be broken once trusted agent can communicate
- **Constraints on the physical devices, e.g., bounded and noisy storage**
  - Because qm memories are expensive and technologically involved, future proof

[1] H-K. Lo and H. F. Chau, *PRL* 78, 3410, 1997 , Dominic Mayers *PRL* 78, 3414, 1997

[2] Adrian Kent, *PRL* 83, 1447–1450,1999; Adrian Kent, *PRL* 109, 130501, 2012; J. Kaniewski, M. Tomamichel, E. Hänggi, and S. Wehner. *IEEE Transactions on Information Theory* 2013

# Preliminary & Motivation

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## Bounded and Nosy Storage Model (previous work)

- **Classical Protocols**, memory bound on adversary [1]
  
- **Quantum Bounded Storage** [2]
  - Cheating party needs  $O(n)$  ( $n$ =nr of signals) qm memories while honest party don't need any!
  - Quatum part of protocol similar to BB84
  
- **Noisy (and Bounded) Quantum Storage based on BB84:**
  - individual storage attack [3]
  - General storage attack, related to the classical capacity of the attackers memory channel [4]
  - Very recently: relation to quantum capacities of the attackers memory [5]

[1] U. Maurer, *Journal of Cryptology*, 5,53,1992. C. Cachin and U. M. Maurer. Proc of CRYPTO 1997

[2] Ivan B Damgård, Serge Fehr, Louis Salvail, and Christian Schaffner. *SIAM Journal on Computing*, 37:1865–1890, 2008; Stephanie Wehner, Christian Schaffner, and Barbara M Terhal PRL 100, 220502, 2008

[3] Stephanie Wehner, Christian Schaffner, and Barbara M Terhal, PRL 100,220502,2008

[4] Robert König, Stephanie Wehner, and Jürg Wullschleger, *IEEE Trans Inf Th*, 58:1962–1984,2012

[5] Mario Berta, Omar Fawzi, and Stephanie Wehner, *Adv in Cryptology CRYPTO 2012*, 7417, 776, 2012;F. Dupuis, O. Fawzi, and S. Wehner, *IEEE Trans Inf Th*, 61, 1093, 2015

# Our Contribution

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- **First Continuous Variable (CV) Protocol** for two party protocol in the noisy storage model
  - **oblivious transfer** and bit commitment
  - Experimentally feasible (requires CV QKD)
- We relate security to the **classical capacity** of the memory channel
- New Continuous Variable Uncertainty Relations for Smooth Min-Entropy

## Why CV

- Easy integration in standard telecom systems
- Efficient measurements at high frequencies via homodyne detection
- On-chip implementations

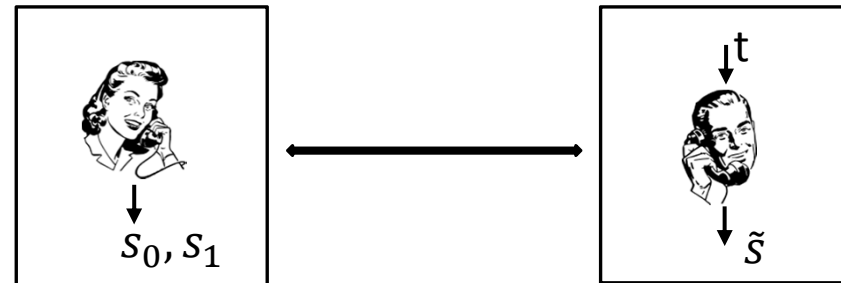
# Outlook

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- I. Continuous Variable Protocol for Oblivious Transfer (OT) in the noisy storage model
- II. Correctness and Security in the noisy storage model
- III. Uncertainty Relations for the noisy storage model
- IV. Application to Gaussian Bosonic Memory Channel



# Oblivious Transfer



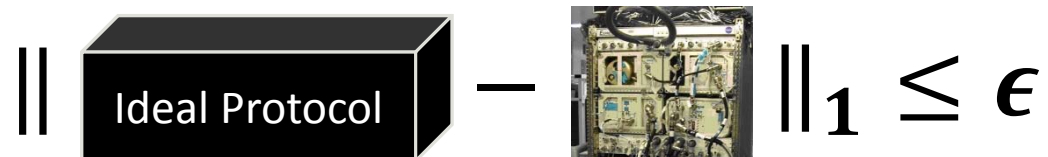
**Alice:** no input and as output two  $\ell$ -bit strings  $s_0, s_1 \in \{0,1\}^\ell$

**Bob:** input  $t \in \{0,1\}$  and output  $\tilde{s} \in \{0,1\}^\ell$

## Requirements:

- **Correctness** (both honest): strings  $s_0, s_1$  are random and  $\tilde{s} = s_t$
- **Security for Alice** (Alice honest): Bob can only learn one string
- **Security for Bob** (Bob honest): Alice does not learn  $t$

We use **composable security definition** of the above requirements: the protocol is  $\epsilon$ -indistinguishable from the ideal protocol

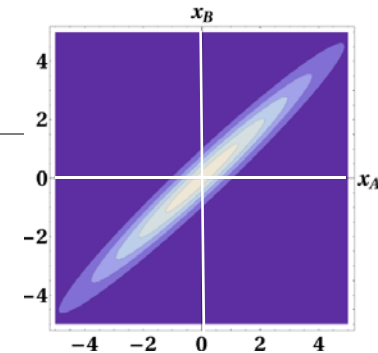
$$\| \text{Ideal Protocol} - \text{Real Protocol} \|_1 \leq \epsilon$$


# Protocol I: Source & Measurements

## Entanglement based protocol (P&M similar):

### ■ Source in Alice's Lab:

- CV entangled EPR state (two mode squeezed states)
- analog of maximally entangled state for discrete variables
- X and P quadratures of the two modes are strongly correlated
- Uncorrelated if the two modes are measured in different quadratures

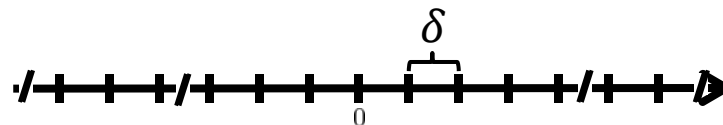


### ■ Measurements:

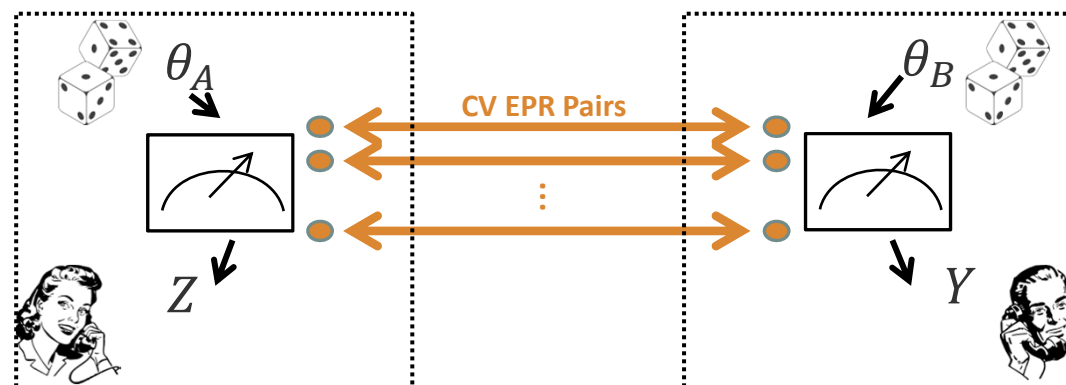
- Homodyne detection of the **quadrature X and P** of the em-field:

$$X = a + a^\dagger, P = i(a^\dagger - a), [X, P] = 2i$$

- **Coarse-graining:** binning into intervals of length  $\delta$



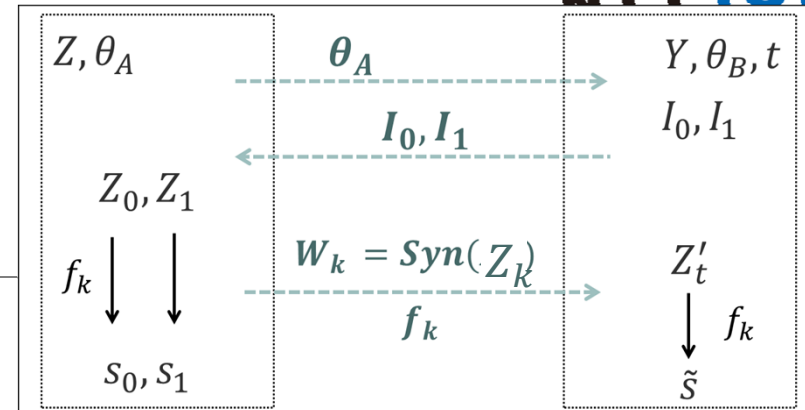
## Protocol II: Quantum Part (like QKD)



- 1) Alice distributes  $n$  CV EPR Pairs
- 2) Alice and Bob measure coarse-grained **X and P uniformly at random**  
 $\theta_A \in_R \{0, 1\}^n$  and  $\theta_B \in_R \{0, 1\}^n$  ( $0 \rightarrow X$  and  $1 \rightarrow P$ ) obtaining outcomes  $Z$  and  $Y$ , respectively.
- 3) They **wait time  $\Delta t$**

**Important Property:** Outcomes in  $Z$  for which Alice's and Bob's measurement choice coincide are highly correlated, and the others are uncorrelated

# Protocol III

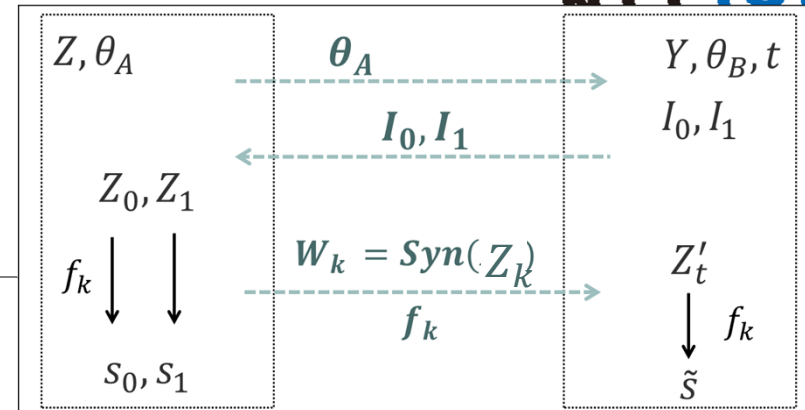


## Classical Part of Protocol[1]:

- 1) Alice sends  $\theta_A$
- 2) Bob defines  $I_t = \{i \mid \theta_A^i = \theta_B^i\}$  and its complement  $I_{1-t}$  and sends  $I_0, I_1$  to Alice
- 3) Alice divides  $Z$  into substrings  $Z_0, Z_1$  according to  $I_0, I_1$
- 4) Alice sends error correction (EC) syndromes  $W_0, W_1$  for  $Z_0, Z_1$  and Bob corrects  $Y_t$  using  $W_t$ , to obtain  $Z'_t$  ( $= Z_t$  with high probability)
- 5) Alice applies hash functions  $f_0, f_1$  and outputs  $s_k = f_k(Z_k)$
- 6) Bob outputs  $\tilde{s} = f_t(X'_t)$

$I_t =$  correlated outcomes  
 $I_{1-t} =$  uncorrelated outcomes

# Correctness



## Classical Part of Protocol[1]:

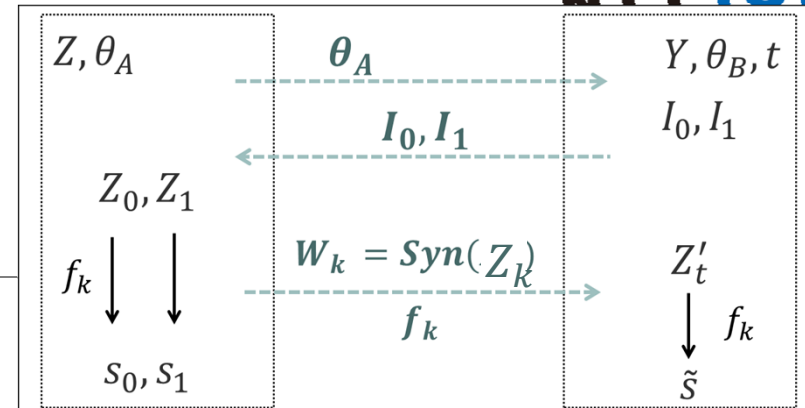
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$I_t =$  correlated outcomes  
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## Correctness of the Protocol:

- Alice's and Bob's outcomes  $Z$  and  $Y$  restricted to  $I_t$  (i.e.,  $Z_t, Y_t$ ) are highly correlated.
- Given error correction information  $W_t$ , Bob can correct  $Y_t$  to obtain  $Z'_t = Z_t$ .
- Hence,  $\tilde{s} = f_t(Z'_t) = f_t(Z_t) = s_t$

# Security for Bob



## Classical Part of Protocol[1]:

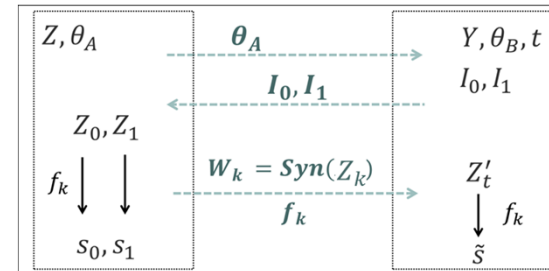
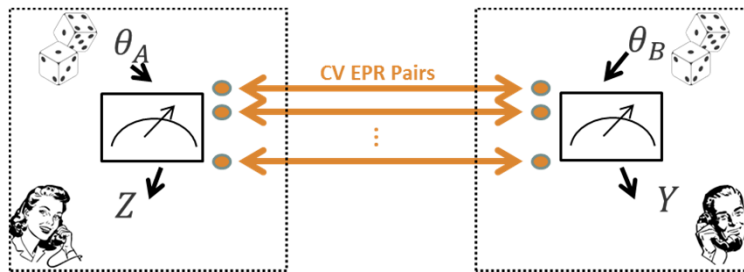
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$I_t =$  correlated outcomes  
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## Security for Bob:

- The only classical and quantum information Bob sends to Alice during the protocol is  $I_0, I_1$  which are uncorrelated to  $t$

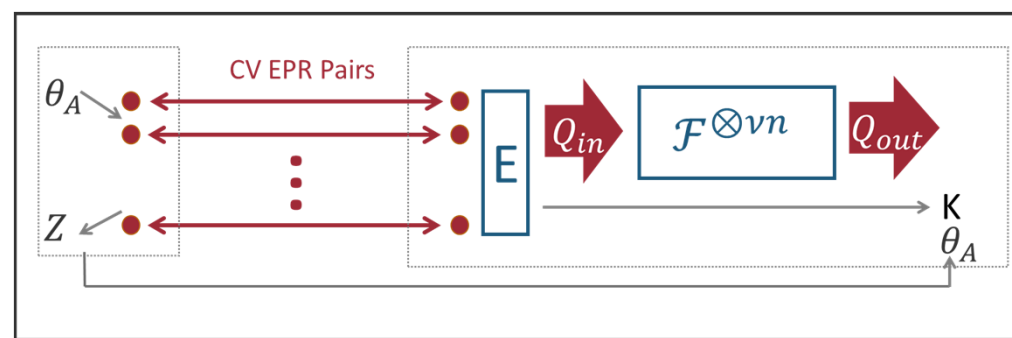
# Security for Alice



## Observation:

- If Bob can measure all his signals in the same basis as Alice, he learns both strings  $s_0, s_1$
- He can do this if he has a quantum memory to store all  $n$  modes over a time  $\Delta t$  until he receives Alice's measurement choices  $\theta_A$ .
- **But what if Bob has only a limited quantum memory capacity, i.e., in the noisy storage model?**

# Attacks in the Noisy Memory Model



## Assumption:

- Bob has  $vn$  quantum channels  $\mathcal{F}$
- $E$  is an encoding that is assumed in the following to be either
  - an arbitrary quantum channel
  - a Gaussian quantum channel
  - a quantum channel that acts identical and individual on the signals (or a very limited number of signals)
- After time  $\Delta t$  Bob receives Alice's measurement choice  $\theta_A$



# Result I

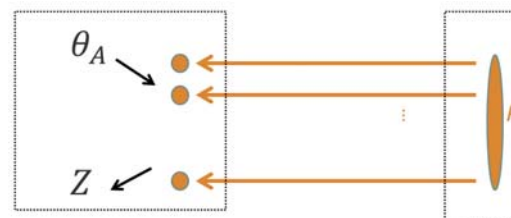
We show security of the OT protocol depending on [1]:

- The **classical strong converse capacity** of Bob's memory  $C_{SC}(\mathcal{F})$

$C_{SC}(\mathcal{F})$  is the rate above which the probability to successfully send classical information through  $\mathcal{F}$  decays exponentially (decay rate  $\xi$ ):  $P_{succ}^{\mathcal{F}}(nR) \leq 2^{-n\xi(R-C_{SC}(\mathcal{F}))}$

- The **uncertainty rate**  $\lambda^\epsilon(n, \delta)$  that can be generated by CV measurements in terms of the smooth min-entropy ( $n$  = number of signals,  $\delta$ =spacing of homodyne meas.)

$$\frac{1}{n} H_{min}^\epsilon(Z|\theta_A) \geq \lambda^\epsilon(n, \delta) , \text{ where}$$



[1] Robert König, Stephanie Wehner, and Jürg Wullschleger, IEEE Trans Inf Th, 58:1962–1984,2012; Christian Schaffner, PRA 82, 032308, 2010

## Result I

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Security for Alice can be achieved for sufficiently large  $n$  if

$$r_{OT} := 1/2(\lambda^\epsilon(n, \delta) - r_{EC}) - \nu C_{SC}(\mathcal{F}) > 0$$

Moreover, the length of the string  $\ell = |S_0| = |S_1|$  is given by

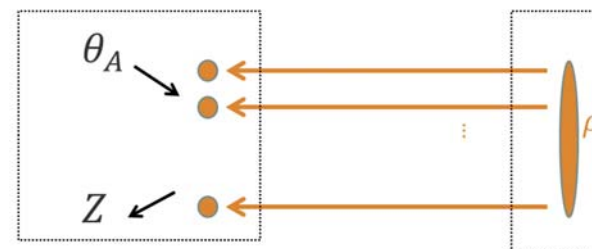
$$\ell = n\xi r_{OT} - \mathcal{O}(\log 1/\epsilon)$$

- $C_{SC}(\mathcal{F})$  = strong converse rate
- $\lambda^\epsilon(n, \delta)$  = uncertainty rate
- $r_{EC} = \frac{1}{n} \log |W_0 W_1|$  = error correction rate
- $\epsilon$  is of the order of the composable security parameter

**Take Home Message:**

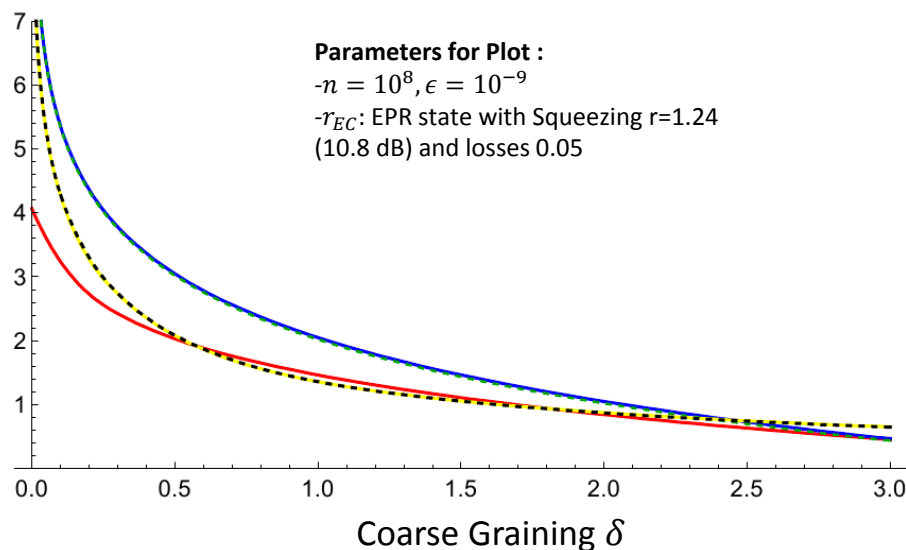
***uncertainty rate – error correction rate > 2 x effective classical capacity***

# Uncertainty Rate (Result II)



We derive 3 different Uncertainty Relations:  $H_{min}^\epsilon(Z|\theta_A) \geq n\lambda^\epsilon(n, \delta)$

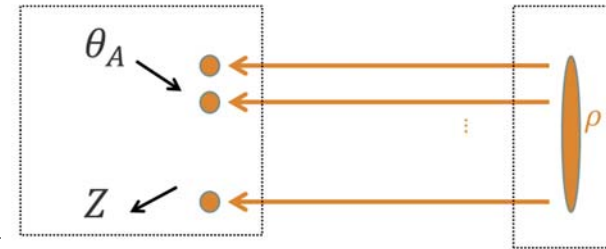
- 1) **No assumptions**: using majorization techniques similar to [1]
- 2) **For Gaussian states** (i.e., Gaussian encoding attacks) : based on continuous approximations
- 3) **For tensor product states (IID)** (i.e. individual encodings): using the asymptotic equipartition property [2]



[1] Ł. Rudnicki, PRA 91, 032123,2015

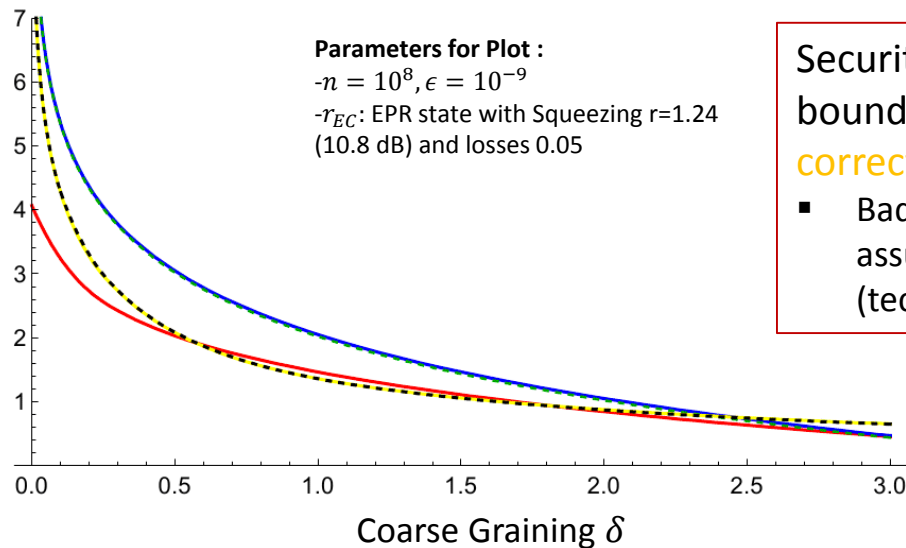
[2] FF, J. Aberg, and R. Renner, CMP 306, 165,2011

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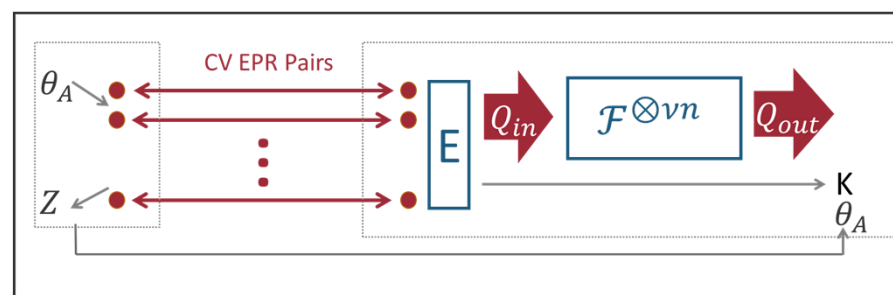
Security for OT: if the uncertainty bound is above the **yellow line (error correction rate)**

- Bad memories required without any assumptions on the memory attacks (technical problem)

[1] Ł. Rudnicki, PRA 91, 032123, 2015

[2] FF, J. Aberg, and R. Renner, CMP 306, 165, 2011

# Discussion of Security for Gaussian Memory Channels

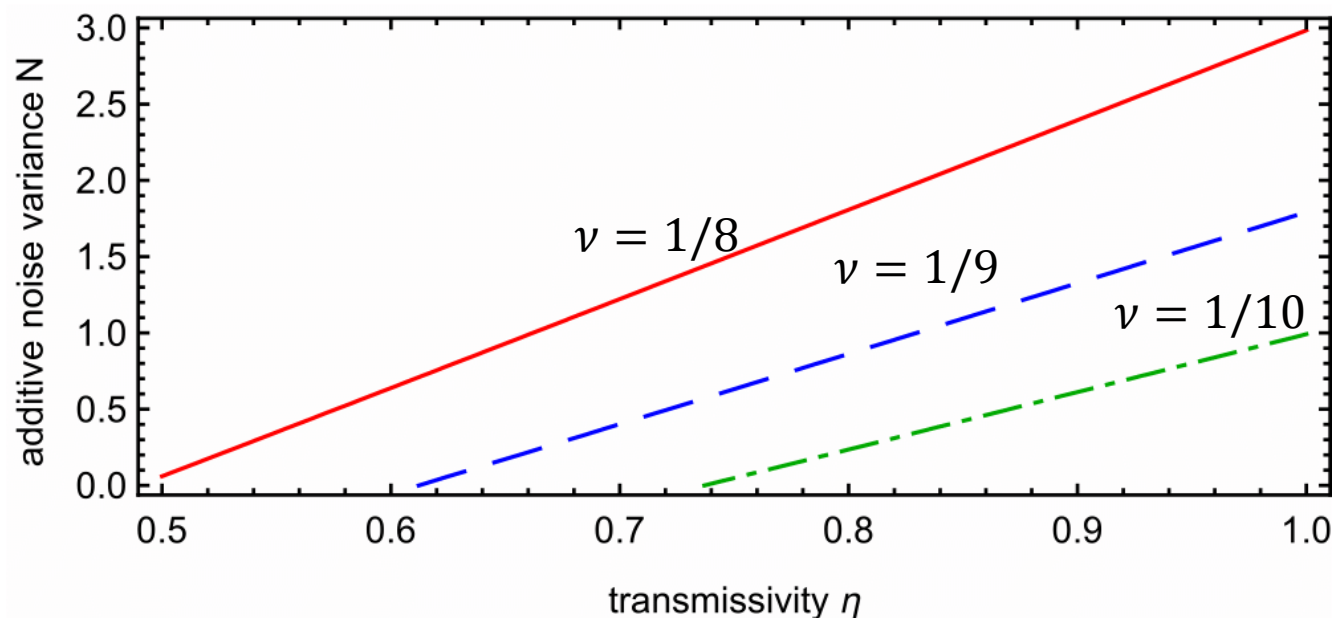


- $\mathcal{F}$  = one mode bosonic Gaussian channel: loss channel with **transmissivity  $\tau$**  and additive Gaussian **noise with variance  $V_N$**
- Strong converse classical capacity for phase insensitive one mode Gaussian channel known (recent results [1])
- Requires a maximal photon number constraint  $N_{max}$  (i.e., each encoding has only non negligible support on subspace with less than  $N_{max}$  photons)[2]

[1] V. Giovannetti, A. Holevo, and R. Garcia-Patron, arXiv:1312.2251 (2013), V. Giovannetti, R. Garcia-Patron, N. Cerf, and A. Holevo, arXiv:1312.6225 (2013)  
 [2]

# Security under Gaussian Memory Attacks

Left side of the lines are secure regions (plots = equality in condition)



- EPR state with squeezing of 10.8 dB and 5% losses on Bob's mode.
- Error Correction Efficiency 0.96
- $N_{\max} = 30$
- Protocol Parameters:  $n = 10^8 \epsilon_S = 10^{-9}$

## Summary

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- Protocol for OT (and BC) based on optical CV systems (exper. feasible, needs no quantum memory)
- Security in the noisy storage model:

***uncertainty rate – error correction rate > 2 x effective classical capacity***

- New Uncertainty Relations for CV Systems
- Discussion of security for practical memory channels

### Open Problems

- Need better uncertainty relations without assumptions
- Reduction to quantum capacities of the memory channels